

08262005B

Sheet 1 of 1.

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|--|--|---|--------------------------|
| Form PTO-1449  | U.S. Department of Commerce<br>Patent and Trademark Office | Atty. Docket No.<br>103-P-015 (4730-15) | Appln. No.<br>09/168,783 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(Use several sheets if necessary) |  | Applicant<br>Carlos de la Huerga        |                          |
|  |  | Filing Date<br>10/08/1998               | Group Art Unit<br>3651   |

| U.S. PATENT DOCUMENTS |                    |         |                    |       |          |                            |
|-----------------------|--------------------|---------|--------------------|-------|----------|----------------------------|
| EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER | DATE    | NAME               | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|                       | 3,227,127          | 01/1966 | Gayle              | 116   | 121      |                            |
|                       | 4,504,153          | 03/1985 | Schoffmeyer et al. | 368   | 10       |                            |
|                       | 4,674,652          | 06/1987 | Aten et al.        | 221   | 3        |                            |
|                       | 4,695,954          | 09/1987 | Rose et al.        | 364   | 413      |                            |
|                       | 4,725,997          | 02/1988 | Orquhart et al.    | 368   | 10       |                            |
|                       | 4,732,411          | 03/1988 | Siegel             | 283   | 75       |                            |
|                       | 4,811,764          | 03/1989 | McLaughlin         | 141   | 98       |                            |
|                       | 4,939,705          | 07/1990 | Hamilton et al.    | 368   | 10       |                            |
|                       | 4,953,745          | 09/1990 | Rowlett, Jr.       | 221   | 5        |                            |
|                       | 5,088,056          | 02/1992 | Melntosh et al.    | 364   | 569      |                            |
|                       | 5,099,463          | 03/1992 | Lloyd et al.       | 368   | 10       |                            |
|                       | 5,313,439          | 05/1994 | Albeck             | 368   | 10       |                            |
|                       | 5,233,571          | 08/1993 | Wirtschafter       | 368   | 10       |                            |
|                       | 5,347,453          | 09/1994 | Maestre            | 364   | 413      |                            |
|                       | 5,392,952          | 02/1995 | Bowden             | 221   | 15       |                            |
|                       | 5,408,443          | 04/1995 | Weinberger         | 368   | 10       |                            |
|                       | 5,852,590          | 12/1998 | de la Huerga       | 368   | 10       |                            |
|                       | 6,032,155          | 02/2000 | de la Huerga       | 707   | 104      |                            |
|                       | 6,169,707          | 01/2001 | Newland            | 368   | 10       |                            |
|                       | 6,259,654          | 07/2001 | de la Huerga       | 368   | 10       |                            |
|                       | 6,317,390          | 11/2001 | Cardoza            | 368   | 10       |                            |
|                       | 6,324,123          | 11/2001 | Durso              | 368   | 19       |                            |

| FOREIGN PATENT DOCUMENTS |      |         |       |          |             |    |  |
|--------------------------|------|---------|-------|----------|-------------|----|--|
| DOCUMENT<br>NUMBER       | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |  |
|                          |      |         |       |          | YES         | NO |  |
|                          |      |         |       |          |             |    |  |
|                          |      |         |       |          |             |    |  |
|                          |      |         |       |          |             |    |  |

| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |
|---|--|--|
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| *EXAMINER  | DATE CONSIDERED |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with client. |                 |

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Sheet 1 of 3

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(3/90)LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)

ATTY. DOCKET NO.

103-P-015

SERIAL NO.

09/168,783

APPLICANT  
Carlos de la HuergaFILING DATE  
October 8, 1998GROUP  
2771

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER       | DATE                | NAME                    | CLASS          | SUBCLASS          | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----------------------|---------------------|-------------------------|----------------|-------------------|-------------------------------|
|                     | <del>5,852,590</del>  | <del>12/22/98</del> | <del>De la Huerga</del> | <del>368</del> | <del>107</del>    | <del>3/28/97</del>            |
|                     | <del>5,826,217</del>  | <del>10/20/98</del> | <del>LENER</del>        | <del>702</del> | <del>177</del>    |                               |
|                     | <del>5,745,366</del>  | <del>4/28/96</del>  | <del>Higham</del>       | <del>364</del> | <del>479.13</del> |                               |
|                     | <del>Re. 35,743</del> | <del>3/17/96</del>  | <del>Pearson</del>      | <del>331</del> | <del>2</del>      |                               |
|                     | <del>5,623,242</del>  | <del>4/22/97</del>  | <del>Dawson</del>       | <del>340</del> | <del>311.1</del>  |                               |
|                     | <del>5,609,268</del>  | <del>3/11/97</del>  | <del>Shaw</del>         | <del>331</del> | <del>2</del>      |                               |
|                     | <del>5,493,267</del>  | <del>1/14/97</del>  | <del>McDonald</del>     | <del>414</del> | <del>273</del>    |                               |
|                     | <del>5,522,525</del>  | <del>6/4/96</del>   | <del>McLaughlin</del>   | <del>221</del> | <del>4</del>      |                               |
|                     | <del>5,508,499</del>  | <del>4/16/96</del>  | <del>Fernando</del>     | <del>235</del> | <del>375</del>    |                               |
|                     | <del>5,502,944</del>  | <del>4/2/96</del>   | <del>Kraft</del>        | <del>53</del>  | <del>55</del>     |                               |
|                     | <del>5,480,062</del>  | <del>1/2/96</del>   | <del>Rogers</del>       | <del>331</del> | <del>174</del>    |                               |
|                     | <del>5,472,113</del>  | <del>12/5/95</del>  | <del>Shaw</del>         | <del>221</del> | <del>2</del>      |                               |
|                     | <del>5,460,294</del>  | <del>10/24/95</del> | <del>Williams</del>     | <del>221</del> | <del>2</del>      |                               |
|                     | <del>5,434,290</del>  | <del>6/11/95</del>  | <del>Brewer</del>       | <del>331</del> | <del>2</del>      |                               |
|                     | <del>5,408,443</del>  | <del>4/18/95</del>  | <del>Weinberger</del>   | <del>368</del> | <del>10</del>     |                               |
|                     | <del>5,405,048</del>  | <del>4/11/95</del>  | <del>Rogers</del>       | <del>221</del> | <del>211</del>    |                               |
|                     | <del>5,401,039</del>  | <del>3/28/95</del>  | <del>Fernando</del>     | <del>382</del> | <del>67</del>     |                               |
|                     | <del>5,392,987</del>  | <del>2/28/95</del>  | <del>Bowden</del>       | <del>221</del> | <del>15</del>     |                               |
|                     | <del>5,348,061</del>  | <del>9/20/94</del>  | <del>Riley</del>        | <del>141</del> | <del>104</del>    |                               |
|                     | <del>5,347,453</del>  | <del>9/13/94</del>  | <del>MESTRE</del>       | <del>364</del> | <del>413</del>    |                               |
|                     | <del>5,337,919</del>  | <del>8/16/94</del>  | <del>Spanning</del>     | <del>221</del> | <del>2</del>      |                               |
|                     | <del>5,313,430</del>  | <del>5/17/94</del>  | <del>Albeck</del>       | <del>368</del> | <del>10</del>     |                               |
|                     | <del>5,272,118</del>  | <del>12/21/93</del> | <del>Gorman</del>       | <del>235</del> | <del>375</del>    |                               |
|                     | <del>5,233,571</del>  | <del>8/3/93</del>   | <del>Wirschafer</del>   | <del>368</del> | <del>10</del>     |                               |
|                     | <del>5,213,933</del>  | <del>3/23/93</del>  | <del>Kraft</del>        | <del>221</del> | <del>372</del>    |                               |
|                     | <del>5,209,157</del>  | <del>2/22/94</del>  | <del>Rudick</del>       | <del>368</del> | <del>10</del>     |                               |

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(3/90)LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)

ATTY. DOCKET NO.

103-P-015

SERIAL NO.

09/168,783

APPLICANT

Carlos de la Hueraga

FILING DATE

October 8, 1998

GROUP

2771

|                        |                     |                       |                |                   |
|------------------------|---------------------|-----------------------|----------------|-------------------|
| <del>5,208,762</del> + | <del>5/4/93</del>   | <del>Charbat</del>    | <del>364</del> | <del>478</del>    |
| <del>5,181,189</del> + | <del>1/19/93</del>  | <del>Haber</del>      | <del>368</del> | <del>10</del>     |
| <del>5,176,285</del>   | <del>1/5/93</del>   | <del>Shaw</del>       | <del>221</del> | <del>3</del>      |
| <del>5,099,463</del>   | <del>3/24/92</del>  | <del>Lloyd</del>      | <del>368</del> | <del>10</del>     |
| <del>5,088,056</del>   | <del>2/11/92</del>  | <del>McIntosh</del>   | <del>364</del> | <del>369</del>    |
| <del>5,047,948</del> + | <del>9/10/91</del>  | <del>Turner</del>     | <del>364</del> | <del>478</del>    |
| <del>5,014,875</del> + | <del>5/14/91</del>  | <del>McLaughlin</del> | <del>221</del> | <del>2</del>      |
| <del>4,984,709</del> + | <del>1/15/91</del>  | <del>Weinstein</del>  | <del>221</del> | <del>7</del>      |
| <del>4,971,421</del>   | <del>11/20/90</del> | <del>Urquhart</del>   | <del>368</del> | <del>10</del>     |
| <del>4,967,928</del>   | <del>11/6/90</del>  | <del>Center</del>     | <del>221</del> | <del>2</del>      |
| <del>4,953,745</del>   | <del>9/4/90</del>   | <del>Rowlett</del>    | <del>221</del> | <del>5</del>      |
| <del>4,939,705</del>   | <del>7/3/90</del>   | <del>Hansken</del>    | <del>368</del> | <del>10</del>     |
| <del>4,911,327</del>   | <del>3/27/90</del>  | <del>Shepherd</del>   | <del>368</del> | <del>10</del>     |
| <del>4,857,716</del> + | <del>8/15/89</del>  | <del>Gombich</del>    | <del>235</del> | <del>462</del>    |
| <del>4,857,713</del> + | <del>8/15/89</del>  | <del>Brown</del>      | <del>295</del> | <del>375</del>    |
| <del>4,847,764</del> + | <del>7/11/89</del>  | <del>Haberman</del>   | <del>364</del> | <del>413.02</del> |
| <del>4,839,806</del> + | <del>6/13/89</del>  | <del>Goldfarber</del> | <del>364</del> | <del>413.02</del> |
| <del>4,835,372</del>   | <del>5/30/89</del>  | <del>Gombich</del>    | <del>295</del> | <del>375</del>    |
| <del>4,823,983</del> + | <del>4/25/89</del>  | <del>Aten</del>       | <del>221</del> | <del>3</del>      |
| <del>4,811,764</del>   | <del>3/14/89</del>  | <del>McLaughlin</del> | <del>141</del> | <del>98</del>     |
| <del>4,785,969</del> + | <del>11/22/88</del> | <del>McLaughlin</del> | <del>221</del> | <del>2</del>      |
| <del>4,733,797</del>   | <del>3/29/88</del>  | <del>Haber</del>      | <del>221</del> | <del>8</del>      |
| <del>4,733,362</del> + | <del>3/22/88</del>  | <del>Haraguchi</del>  | <del>364</del> | <del>479</del>    |
| <del>4,732,411</del>   | <del>1/22/88</del>  | <del>Siegel</del>     | <del>283</del> | <del>75</del>     |
| <del>4,725,997</del>   | <del>2/16/88</del>  | <del>Urquhart</del>   | <del>368</del> | <del>10</del>     |
| <del>4,695,954</del>   | <del>9/22/87</del>  | <del>Rose</del>       | <del>364</del> | <del>413</del>    |
| <del>4,674,652</del>   | <del>6/23/87</del>  | <del>Aten</del>       | <del>221</del> | <del>3</del>      |
| <del>4,674,651</del> + | <del>6/23/87</del>  | <del>Seidmore</del>   | <del>221</del> | <del>3</del>      |
| <del>4,664,289</del> + | <del>3/12/87</del>  | <del>Shimizu</del>    | <del>221</del> | <del>2</del>      |

EXAMINER

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|---|--------------------------------------|---------------------------------|
| Based on Form PTO-1449<br>(3/90)<br><br><b>LIST OF REFERENCES CITED BY APPLICANT</b><br>(Use several sheets if necessary) | <b>ATTY. DOCKET NO.</b><br>103-P-015 | <b>SERIAL NO.</b><br>09/168,783 |
| <b>APPLICANT</b><br>Carlos de la Huerza   |                                      |                                 |
| <b>FILING DATE</b><br>October 8, 1998   |                                      | <b>GROUP</b><br>2771            |

|  |             |          |             |     |     |  |
|--|-------------|----------|-------------|-----|-----|--|
|  | 4,020,105 + | 12/2/86  | Miller      | 368 | 10  |  |
|  | 4,617,557 + | 10/14/86 | Gordon      | 340 | 568 |  |
|  | 4,616,316 + | 10/7/86  | Hampeter    | 384 | 413 |  |
|  | 4,573,606 + | 3/4/86   | Lewis       | 221 | 2   |  |
|  | 4,546,901   | 10/15/85 | Buttarazzi  | 221 | 10  |  |
|  | 4,986,474 + | 7/2/85   | Simon       | 368 | 10  |  |
|  | 4,504,133   | 3/12/85  | Scholtmeyer | 368 | 10  |  |
|  | 4,483,626 + | 11/20/84 | Noble       | 368 | 10  |  |
|  | 4,437,579 + | 3/20/84  | Obland      | 221 | 25  |  |
|  | 4,360,125 + | 11/23/82 | Marindale   | 221 | 2   |  |
|  | 4,207,992 + | 6/17/80  | Brown       | 221 | 15  |  |
|  | 3,762,601 + | 10/2/73  | McLaughlin  | 368 | 10  |  |
|  | 3,227,427   | 1/4/66   | Gayle       | 116 | 121 |  |
|  |             |          |             |     |     |  |
|  |             |          |             |     |     |  |
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| <b>EXAMINER</b>  | <b>DATE CONSIDERED</b> |
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December 22, 1998, entitled Interactive Label for Medication Containers and Dispensers from which the above-identified application claims priority under 35 U.S.C. § 120.

| DOCUMENT NUMBER | DATE     | NAME          |
|-----------------|----------|---------------|
| 5,522,426       | 8/4/96   | McLaughlin    |
| 5,472,113       | 12/3/93  | Shaw          |
| 5,408,443       | 4/18/95  | Weinberger    |
| 5,392,952       | 2/28/95  | Bowden        |
| 5,347,453       | 9/13/94  | Maestre       |
| 5,313,439       | 5/17/94  | Atbeck        |
| 5,233,571       | 8/3/93   | Wirtschaftler |
| 5,213,232       | 5/25/93  | Kraft         |
| 5,181,189       | 1/4/93   | Hafner        |
| 5,099,463       | 3/14/92  | Lloyd         |
| 5,088,056       | 3/11/92  | Melntosh      |
| 5,047,948       | 9/10/91  | Turner        |
| 4,984,709       | 1/13/91  | Weinstein     |
| 4,953,745       | 9/4/90   | Rowlett       |
| 4,939,705       | 7/3/90   | Hamilton      |
| 4,811,764       | 3/14/89  | McLaughlin    |
| 4,725,997       | 2/16/88  | Urquhart      |
| 4,695,954       | 9/22/87  | Rosa          |
| 4,674,651       | 6/23/87  | Seldman       |
| 4,626,185       | 12/2/86  | Miller        |
| 4,617,357       | 10/14/86 | Gordon        |
| 4,616,316       | 10/7/86  | Hampster      |
| 4,573,606       | 3/4/86   | Lewis         |
| 4,526,474       | 11/2/85  | Simon         |
| 4,504,153       | 3/12/85  | Schollmeyer   |
| 4,483,626       | 11/20/84 | Noble         |
| 4,437,579       | 3/20/84  | Obland        |
| 4,360,125       | 11/23/83 | Martindale    |
| 4,287,992       | 6/17/80  | Brown         |
| 3,227,127       | 1/4/66   | Gayle         |

Applicant is also not enclosing copies of the following references in accordance with 37 C.F.R. § 1.98(d). These references were previously provided to the PTO or otherwise made of record for Application Serial No. 08/955,475 filed on October 21, 1997, now Patent No. 6,032,155

issued February 29, 2000, entitled System and Apparatus for Administering Prescribed Medication  
to a Patient from which the above-identified application claims priority under 35 U.S.C. § 120.

| DOCUMENT NUMBER       | DATE                     | NAME                   | FILING DATE IF APPROPRIATE |
|-----------------------|--------------------------|------------------------|----------------------------|
| <del>5,852,590</del>  | <del>de la Hueraga</del> | <del>12/22/98</del>    |                            |
| <del>5,826,217</del>  | <del>10/20/98</del>      | <del>Lerner</del>      |                            |
| <del>5,745,366</del>  | <del>4/28/98</del>       | <del>Higham</del>      |                            |
| <del>Re. 35,743</del> | <del>3/17/98</del>       | <del>Pearson</del>     |                            |
| <del>5,572,525</del>  | <del>6/4/98</del>        | <del>McLaughlin</del>  |                            |
| <del>5,508,499</del>  | <del>4/16/98</del>       | <del>Ferrario</del>    |                            |
| <del>5,502,844</del>  | <del>4/2/96</del>        | <del>Kraft</del>       |                            |
| <del>5,488,802</del>  | <del>1/2/96</del>        | <del>Regan</del>       |                            |
| <del>5,460,294</del>  | <del>10/24/95</del>      | <del>Williams</del>    |                            |
| <del>5,405,848</del>  | <del>4/11/93</del>       | <del>Rogers</del>      |                            |
| <del>5,403,059</del>  | <del>3/28/93</del>       | <del>Ferrario</del>    |                            |
| <del>5,272,318</del>  | <del>12/31/03</del>      | <del>Gorman</del>      |                            |
| <del>5,213,232</del>  | <del>3/23/93</del>       | <del>Kraft</del>       |                            |
| <del>5,208,762</del>  | <del>3/4/93</del>        | <del>Charhut</del>     |                            |
| <del>5,014,875</del>  | <del>3/14/91</del>       | <del>McLaughlin</del>  |                            |
| <del>4,857,716</del>  | <del>8/15/89</del>       | <del>Gombrieh</del>    |                            |
| <del>4,857,713</del>  | <del>8/15/89</del>       | <del>Brown</del>       |                            |
| <del>4,847,764</del>  | <del>7/11/89</del>       | <del>Halverson</del>   |                            |
| <del>4,829,806</del>  | <del>5/13/89</del>       | <del>Gottfischer</del> |                            |
| <del>4,823,982</del>  | <del>4/25/89</del>       | <del>Aten</del>        |                            |
| <del>4,785,960</del>  | <del>11/22/88</del>      | <del>McLaughlin</del>  |                            |
| <del>4,733,362</del>  | <del>3/22/88</del>       | <del>Haraguchi</del>   |                            |
| <del>4,732,411</del>  | <del>3/22/88</del>       | <del>Siegel</del>      |                            |
| <del>4,664,289</del>  | <del>3/12/87</del>       | <del>Schmizer</del>    |                            |

Respectfully submitted,

Dated: 10/6/00

By: Jeffrey S. Sokol  
Jeffrey S. Sokol, Reg. No. 35,686  
Attorney for Applicant  
Sokol Law Office  
828 North Broadway, Suite 850  
Milwaukee, Wisconsin 53202  
(414) 272-7200